



UNITED STATES PATENT AND TRADEMARK OFFICE

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USPTO Fees - FY 2003

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UNITED STATES PATENT AND TRADEMARK OFFICE Effective January 1, 2003

Any fee amount paid on or after January 1, 2003, must be paid in the revised amount. The fees subject to reduction for small entities that have established status (37 CFR 1.27) are shown in a separate column. For additional information, please contact the General Information Services Division at (703) 308-4357 or (800) 786-9199.

Payments from foreign countries must be payable and immediately negotiable in the United States for the full amount of the fee required.

Patent Application Filing Fees Post- Allowance Fees Maintenance Fees Miscellaneous Fees Application Extension Fees Appeals/Interference Fees Petition Fees Service Fees Enrollment Fees	Patent Cooperation Treaty National Stage International Stage PCT Fees to WIPO or EPO General Finance Service Fees Computer Service Fees	Trademark Processing Fees Service Fees Fastener Quality Act Fees
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USPTO Fee Schedule, effective January 1, 2003

Fee Code	37 CFR	Description	Fee	Small Entity Fee (if applicable)
Patent Application Filing Fees				
1001/2001	1.16(a)	Basic filing fee - Utility	750.00	375.00
1006/2006	1.16(a)	Basic filing fee - Utility (CPA)	750.00	375.00

1201/2201	1.16(b)	Independent claims in excess of three	84.00	42.00
1202/2202	1.16(c)	Claims in excess of twenty	18.00	9.00
1203/2203	1.16(d)	Multiple dependent claim	280.00	140.00
1051/2051	1.16(e)	Surcharge - Late filing fee or oath or declaration	130.00	65.00
1002/2002	1.16(f)	Design filing fee	330.00	165.00
1007/2007	1.16(f)	Design filing fee (CPA)	330.00	165.00
1003/2003	1.16(g)	Plant filing fee	520.00	260.00
1008/2008	1.16(g)	Plant filing fee (CPA)	520.00	260.00
1004/2004	1.16(h)	Reissue filing fee	750.00	375.00
1009/2009	1.16(h)	Reissue filing fee (CPA)	750.00	375.00
1204/2204	1.16(i)	Reissue independent claims over original patent	84.00	42.00
1205/2205	1.16(j)	Reissue claims in excess of 20 and over original patent	18.00	9.00
1005/2005	1.16(k)	Provisional application filing fee	160.00	80.00
1052/2052	1.16(l)	Surcharge - Late provisional filing fee or cover sheet	50.00	25.00
1053	1.17(i)	Non-English specification	130.00	Back to top>
Patent Post-Allowance Fees				
1501/2501	1.18(a)	Utility issue fee	1,300.00	650.00
1502/2502	1.18(b)	Design issue fee	470.00	235.00
1503/2503	1.18(c)	Plant issue fee	630.00	315.00
1504	1.18(d)	Publication fee for early, voluntary, or normal publication	300.00	
1505	1.18(d)	Publication fee for republication	300.00	Back to top>
Patent Maintenance Fees				
1551/2551	1.20(e)	Due at 3.5 years	890.00	445.00
1552/2552	1.20(f)	Due at 7.5 years	2,050.00	1,025.00
1553/2553	1.20(g)	Due at 11.5 years	3,150.00	1,575.00
1554/2554	1.20(h)	Surcharge - 3.5 year - Late payment within 6 months	130.00	65.00

1555/2555	1.20(h)	Surcharge - 7.5 year - Late payment within 6 months	130.00	65.00
1556/2556	1.20(h)	Surcharge - 11.5 year - Late payment within 6 months	130.00	65.00
1557	1.20(i)(1)	Surcharge after expiration - Late payment is unavoidable	700.00	
1558	1.20(i)(2)	Surcharge after expiration - Late payment is unintentional	1,640.00	Back to top>
Miscellaneous Patent Fees				
1801/2801	1.17(e)	Request for continued examination (RCE) (see 37 CFR 1.114)	750.00	375.00
1808	1.17(i)	Processing fee, except in provisional applications	130.00	
1803	1.17(i)	Request for voluntary publication or republication	130.00	
1802	1.17(k)	Request for expedited examination of a design application	900.00	
1804	1.17(n)	Request for publication of SIR - Prior to examiner's action	920.00*	
1805	1.17(o)	Request for publication of SIR - After examiner's action	1,840.00*	
1806	1.17(p)	Submission of an Information Disclosure Statement	180.00	
1807	1.17(q)	Processing fee for provisional applications	50.00	
1809/2809	1.17(r)	Filing a submission after final rejection (see 37 CFR 1.129(a))	750.00	375.00
1810/2810	1.17(s)	For each additional invention to be examined (see 37 CFR 1.129(b))	750.00	375.00
1811	1.20(a)	Certificate of correction	100.00	
1812	1.20(c)(1)	Request for ex parte reexamination	2,520.00	
1813	1.20(c)(2)	Request for inter partes	8,800.00	

		reexamination		
1814/2814	1.20(d)	Statutory disclaimer	110.00	55.00
*Reduced by basic filing fee paid.				
Patent Application Extension Fees				
1251/2251	1.17(a)(1)	Extension for response within first month	110.00	55.00
1252/2252	1.17(a)(2)	Extension for response within second month	410.00	205.00
1253/2253	1.17(a)(3)	Extension for response within third month	930.00	465.00
1254/2254	1.17(a)(4)	Extension for response within fourth month	1,450.00	725.00
1255/2255	1.17(a)(5)	Extension for response within fifth month	1,970.00	985.00
Patent Appeals/Interference Fees				
1401/2401	1.17(b)	Notice of appeal	320.00	160.00
1402/2402	1.17(c)	Filing a brief in support of an appeal	320.00	160.00
1403/2403	1.17(d)	Request for oral hearing	280.00	140.00
Patent Petition Fees				
1460	1.17(h)	Petitions to the Commissioner, unless otherwise specified	130.00	
1451	1.17(j)	Petition to institute a public use proceeding	1,510.00	
1452/2452	1.17(l)	Petition to revive unavoidably abandoned application	110.00	55.00
1453/2453	1.17(m)	Petition to revive unintentionally abandoned application	1,300.00	650.00
1454	1.17(t)	Acceptance of an unintentionally delayed claim for priority	1,300.00	
1455	1.18(e)	Filing an application for patent term adjustment	200.00	
1456	1.18(f)	Request for reinstatement of term reduced	400.00	

1457	1.20(j)(1)	Extension of term of patent	1,120.00	
1458	1.20(j)(2)	Initial application for interim extension (see 37 CFR 1.790)	420.00	
1459	1.20(j)(3)	Subsequent application for interim extension (see 37 CFR 1.790)	220.00	Back to top>
PCT Fees - National Stage				
1609/2609	1.492(a)(1)	IPEA - U.S.	720.00	360.00
1610/2610	1.492(a)(2)	ISA - U.S.	750.00	375.00
1611/2611	1.492(a)(3)	USPTO not ISA or IPEA	1,060.00	530.00
1612/2612	1.492(a)(4)	Claims meet PCT Article 33(1)-(4) - IPEA - U.S.	100.00	50.00
1613/2613	1.492(a)(5)	Filing with EPO or JPO search report	900.00	450.00
1614/2614	1.492(b)	Claims - extra independent (over three)	84.00	42.00
1615/2615	1.492(c)	Claims - extra total (over twenty)	18.00	9.00
1616/2616	1.492(d)	Claims - multiple dependent	280.00	140.00
1617/2617	1.492(e)	Oath or declaration after twenty or thirty months from priority date	130.00	65.00
1618	1.492(f)	English translation after twenty or thirty months from priority date	130.00	Back to top>
PCT Fees - International Stage				
1601	1.445(a)(1)	Transmittal fee	240.00	
1602	1.445(a)(2)	PCT search fee - no U.S. application	700.00	
1603	1.445(a)(2)	PCT search - prior U.S. application	450.00	
1604	1.445(a)(3)	Supplemental search per additional invention	210.00	
1605	1.482(a)(1)	Preliminary examination fee - ISA was the U.S.	490.00	
1606	1.482(a)(1)	Preliminary examination fee - ISA not the U.S.	750.00	

1607	1.482(a)(2)	Additional invention - ISA was the U.S.	140.00	
1608	1.482(a)(2)	Additional invention - ISA not the U.S.	270.00	Back to top>

PCT Fees to WIPO or EPO (Amounts as of April 15, 2003)

1702		Basic application fee (first thirty pages)	476.00**	
1703		Basic supplemental fee (for each page over thirty)	12.00**	
1705		Handling fee	172.00**	
1707		Designation fee per country	104.00**	
1704		International search (EPO)	936.00**	Back to top>

** WIPO and EPO fees subject to periodic change due to fluctuations in exchange rate. Refer to the [Official Gazette](#) of the United States Patent and Trademark Office for current amounts.

Patent Service Fees

8001	1.19(a)(1)	Printed copy of patent w/o color, delivery by USPS, USPTO Box, or electronic means	3.00	
8003	1.19(a)(2)	Printed copy of plant patent in color	15.00	
8004	1.19(a)(3)	Color copy of patent (other than plant patent) or SIR containing a color drawing	25.00	
8005	1.19(a)(1)	Patent Application Publication (PAP)	3.00	
8007	1.19(b)(1)	Certified copy of patent application as filed	20.00	
8008	1.19(b)(2)(i)	Copy of patent-related file wrapper and paper contents of 400 or fewer pages	200.00	
8009	1.19(b)(2)(ii)	Additional fee for each additional 100 pages or portion thereof	40.00	
8010	1.19(b)(2)(iii)	Additional fee for certification of patent-related file wrapper and paper contents	25.00	

8011	1.19(b)(3)(i)	Copy of first compact disk in a single order of file wrapper and paper contents	55.00	
8012	1.19(b)(3)(ii)	Each additional compact disk in the single order of § 1.19(b)(3)(i)	15.00	
8013	1.19(b)(4)	Certified or uncertified copy of document, unless otherwise provided	25.00	
8014	1.19(b)(5)	For assignment records, abstract of title and certification, per patent	25.00	
8904	1.19(c)	Library service	50.00	
8015	1.19(d)	List of U.S. patents and SIRs in subclass	3.00	
8016	1.19(e)	Uncertified statement re status of maintenance fee payments	10.00	
8017	1.19(f)	Copy of non-U.S. document	25.00	
8018	1.21(c)	Disclosure document filing fee	10.00	
8019	1.21(d)	Local delivery box rental, annually	50.00	
8020	1.21(e)	International type search report	40.00	
8902	1.21(g)	Self-service copy charge, per page	0.25	
8021	1.21(h)	Recording each patent assignment, agreement or other paper, per property	40.00	
8022	1.21(i)	Publication in Official Gazette	25.00	
8023	1.21(j)	Labor charges for services, per hour or fraction thereof	40.00	
8024	1.21(k)	Unspecified other services, excluding labor	AT COST	
8025	1.21(l)	Retaining abandoned application	130.00	
8026	1.21(n)	Handling fee for incomplete or improper application	130.00	

8027	1.296	Handling fee for withdrawal of SIR	130.00	Back to top>
Patent Enrollment Fees				
9001	1.21(a)(1)(i)	Application fee (non-refundable)	40.00	
9002	1.21(a)(1)(ii)	Registration examination fee	310.00	
9003	1.21(a)(2)	Registration to practice	100.00	
9004	1.21(a)(3)	Reinstatement to practice	40.00	
9005	1.21(a)(4)	Copy of certificate of good standing	10.00	
9006	1.21(a)(4)	Certificate of good standing - suitable for framing	20.00	
9007	1.21(a)(5)	Review of decision of Director, Office of Enrollment and Discipline	130.00	
9008	1.21(a)(6)(i)	Regrading of seven or fewer questions	230.00	
9009	1.21(a)(6)(ii)	Regrading of eight or more questions	460.00	Back to top>
GENERAL FEES				
Finance Service Fees				
9201	1.21(b)(1)	Establish deposit account	10.00	
9202	1.21(b)(2)	Service charge for below minimum balance	25.00	
9202	1.21(b)(3)	Service charge for below minimum balance restricted subscription deposit account	25.00	
9101	1.21(m)	Processing each payment refused or charged back	50.00	
Computer Service Fees				
8031/8531		Computer records	AT COST	Back to top>
Trademark Processing Fees				
6001/7001	2.6(a)(1)	Application for registration, per class	335.00	
6002/7002	2.6(a)(2)	Filing an Amendment to Allege Use under § 1(c), per class	100.00	
6003/7003	2.6(a)(3)	Filing a Statement of Use	100.00	

		under § 1(d)(1), per class		
6004/7004	2.6(a)(4)	Filing a Request for a Six-month Extension of Time for Filing a Statement of Use under § 1(d)(1), per class	150.00	
6005	2.6(a)(15)	Petition to the Commissioner	100.00	
6006	2.6(a)(19)	Dividing an application, per new application (file wrapper) created	100.00	
6201/7201	2.6(a)(5)	Application for renewal, per class	400.00	
6203/7203	2.6(a)(6)	Additional fee for filing renewal application during grace period, per class	100.00	
6204	2.6(a)(21)	Correcting a deficiency in a renewal application	100.00	
6205/7205	2.6(a)(12)	Filing § 8 affidavit, per class	100.00	
6206/7206	2.6(a)(14)	Additional fee for filing § 8 affidavit during grace period, per class	100.00	
6207	2.6(a)(20)	Correcting a deficiency in a § 8 affidavit	100.00	
6208/7208	2.6(a)(13)	Filing § 15 affidavit, per class	200.00	
6210	2.6(a)(7)	Publication of mark under § 12(c), per class	100.00	
6211	2.6(a)(8)	Issuing new certificate of registration	100.00	
6212	2.6(a)(9)	Certificate of correction, registrant's error	100.00	
6213	2.6(a)(10)	Filing disclaimer to registration	100.00	
6214	2.6(a)(11)	Filing amendment to registration	100.00	
6401	2.6(a)(16)	Petition for cancellation, per class	300.00	
6402	2.6(a)(17)	Notice of opposition, per class	300.00	
6403	2.6(a)(18)	Ex parte appeal, per class	100.00	Back to top>

The 7000 series fee code (e.g., 7001, 7002, etc.) is used for

electronic filings via e-TEAS, which is available at www.uspto.gov/teas.

Trademark Service Fees

8501	2.6(b)(1)	Printed copy of registered mark, delivery by USPS, USPTO Box, or electronic means	3.00	
8503	2.6(b)(4)(i)	Certified copy of registered mark, with title and/or status, regular service	15.00	
8504	2.6(b)(4)(ii)	Certified copy of registered mark, with title and/or status, expedited local service	30.00	
8507	2.6(b)(2)	Certified copy of trademark application as filed	15.00	
8508	2.6(b)(3)	Certified or uncertified copy of trademark-related file wrapper and contents	50.00	
8513	2.6(b)(5)	Certified or uncertified copy of trademark document, unless otherwise provided	25.00	
8514	2.6(b)(7)	For assignment records, abstracts of title and certification per registration	25.00	
8902	2.6(b)(9)	Self-service copy charge, per page	0.25	
8521	2.6(b)(6)	Recording trademark assignment, agreement or other paper, first mark per document	40.00	
8522	2.6(b)(6)	For second and subsequent marks in the same document	25.00	
8523	2.6(b)(10)	Labor charges for services, per hour or fraction thereof	40.00	
8524	2.6(b)(11)	Unspecified other services, excluding labor	AT COST	Back to top>

Fastener Quality Act Fees

6991	2.7(a)	Recordal application fee	20.00	
6992	2.7(b)	Renewal application fee	20.00	

6993	2.7(c)	Late fee for renewal application	20.00	Back to top>
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